	Governance Scrutiny Group
Rushcliffe Borough Council	**Thursday, 23 February 2023**
	Risk Management Progress Report

Report of the Director – Finance and Corporate Services

1.   Purpose of report

1.1.   This report provides an update on risk activity since the last meeting on 1 November 2022. It provides a summary of risks in the Council's Risk Registers that have changed, been removed or new risks that have been identified as a result of management review throughout the period.

1.1.   The contents of this report have not been considered by any other committee.

2.   Recommendation

It is RECOMMENDED that Governance Scrutiny Group:

a)   note the contents of this report

b)   considers and makes recommendations on risks that have red alert status.

3.   Reasons for Recommendation

3.1.   To provide Governance Scrutiny Group the opportunity to discuss risk activity and make recommendations on risk management, mitigation and financial impacts.

4.   Supporting Information

Risk Management Activity

4.1.   Since the last meeting of this group, the Council's Risk Management Group (RMG) met on 31 January 2023, in order to review risks on the register and to make recommendations.

Risk Management Audit

4.2.   A risk management audit was carried out in June/July by BDO, our Internal Auditors. Risk Management Level of Assurance was given a Substantial rating for Design and Operational Effectiveness. Three recommendations were made:

Medium
- Articulation of the risk and mitigating controls.

Low
- Risk Management Strategy – requires guidance to staff.
- Format of risk reports – some information in Pentana not replicated in reports.

Risk Management Training

4.3.   Training was delivered by Zurich to provide Service Managers with an opportunity risk workshop on 6 October 2022. Zurich also provided training on 1 November 2022 for members of Governance Scrutiny Group.

Risk Management Strategy

4.4.   The draft Risk Management Strategy has been written and is also on the agenda for this meeting. The revised strategy addresses the recommendations in the BDO report and takes into account the latest best practice in Risk Management as communicated by Zurich in the training sessions.

Risk Update

4.5.   There are currently 41 corporate risks and 27 operational risks on the risk register. In addition, there are 4 opportunity risks. The number of risks within the registers will fluctuate as active risk management is undertaken. Changing pressures facing local government and the proactive work of managers to identify risks as they emerge will continue to influence new risks added to the register and demonstrates the Council's aim to be proactive to mitigate risk as soon as possible after identification.

4.6.   Appendix A presents the Council's existing Risk Registers containing corporate, operational risks and opportunity risks. There are no new risks and one risk has been removed as a result recent reviews. Risks that have decreased or increased risk ratings are summarised as follows:

Risk Increased

OR_DEG08 Loss of income as a result of the refund of planning application fees under the provisions of the Government's Planning Performance and Planning Guarantee: the likelihood has increased from 3 to 4 as a result of receiving a request for refund on a major scheme £32,324. Taking legal advice but likely to have to be paid back.

Risk reduced

CRR_NS18 Failure of public sector partnerships / withdrawal of financial support: likelihood reduced from 2 to 1 - Rushcliffe Primary Care Network committing more funding to the joint Health Development Officers post.

CRR_NS19 Failure to safeguard children and vulnerable adults: likelihood decreased from 2 to 1 - the risk has improved with all staff undertaking E-

learning Training and the Steering group now meeting again on a quarterly basis.

OR_CED01 Threat of violence to staff: likelihood reduced from 3 to 2 - likelihood was increased due to covid, but has now been reduced back to unlikely

Risk change

CRR_FCS23 ICT supplier goes out of business: the impact reduced from 3 to 2 and likelihood increased from 2 to 3 - there is a lot of uncertainty and acquisitions happening in the technical market for companies struggling and on the verge of bankruptcy. One of our suppliers have recently been acquired. A number of factors are contributing to the instability of suppliers.

4.7. One risk has been removed as a result of the completion of the Bingham Arena project.

CRR_DEG05 Ability to deliver Bingham Arena and Enterprise Centre project on time and within budget

5. Risks and Uncertainties

5.1. If risks within the Risk Register did not have the correct level of mitigation there would be a heightened threat if a risk occurred. Arrangements are in place to reduce risk by implementation of the Risk Management Strategy.

6. Implications

6.1. Financial Implications

The Risk Management Group ensures that the financial risks of the Council are managed. The MTFS being presented to Full Council has some additional risks which will be reported back to the Group when the Risk Register is next presented.

6.2. Legal Implications

There are no implications in this report, the processes in place provide good risk management.

6.3. Equalities Implications

The Risk Management Group ensure that equalities implications are contained within this register.

6.4. Section 17 of the Crime and Disorder Act 1998 Implications

The Risk Management Group ensure that the section 17 implications are contained within this register.

7. Link to Corporate Priorities

Quality of Life	Maintaining an accurate and up-to-date Corporate Risk Register assists the Council in delivering its Corporate Priorities.
Efficient Services	
Sustainable Growth	
The Environment	

8. Recommendations

It is RECOMMENDED that Governance Scrutiny Group:

a) note the contents of this report

b) considers and makes recommendations on risks that have red alert status.

For more information contact:	Peter Linfield Director - Finance and Corporate Services Tel: 0115 9148439 plinfield@rushcliffe.gov.uk
Background papers available for Inspection:	There are no additional papers
List of appendices:	Appendix A – Risk Registers – Corporate, Operational and Opportunity Risk